FinfraG Fee Schedule

REGIS-TR S.A.

a company incorporated under the laws of Luxembourg, having its registered office at

15, rue Léon Laval, L – 3372 Leudelange, Grand Duchy of Luxembourg registered with the

Luxembourg Trade and Companies Register under number B 157.650

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General

This document describes the billing concepts and sets out the applicable fees for the services that REGIS-TR provides to Reporting Participants, Reporting Third Parties, and Non-Reporting Entities (hereinafter referred collectively to as the "Members" and, individually, as a "Member") according to the Governing Documents.

1.1 Currency and VAT

All fees are calculated, invoiced, and charged in Euro, net of Value Added Tax (VAT) and, for Luxembourg domiciled entities, charged with the Luxembourgish VAT applicable to financial services. Members must make their payments without deduction of any tax.

1.2 Invoicing and terms of payment

REGIS-TR shall issue invoices to Members as follows:

- Monthly to Reporting Participants and Reporting Third Parties, no later than the 6th Business Day of the month following the invoice period.
- Annually to Non-Reporting Entities, no later than on the 6th Business Day of February each year, or on the 6th Business Day of the month following the account opening during the first year of operations.

By default, invoices are distributed electronically, via a PDF form in a Transport Layer Security (TLS) encrypted email. The service is free of charge. Opting out of the electronic service is no longer possible.

Unless an alternative email address for billing purposes has been specified in Appendix 2, the email of the administrator will be registered as the default recipient. If you would prefer a different email address, multiple email addresses, mailbox, or group email to be registered, the administrator can maintain the email addresses in our MED database tool or get in touch with onboarding@regis-tr.com.

Members have two alternatives for paying their invoices:

- Through SEPA Direct Debit, in accordance with Regulation (EU) N° 260/2012 establishing technical and business requirements for credit transfers and direct debits in Euro, by submitting the corresponding mandate form. The bank account owner of the direct debit mandate will benefit from a refund right for 8 weeks after the date of debit from the bank account.
- By credit transfer in favour of REGIS-TR within 30 calendar days of the invoice date.

The details of the REGIS-TR bank account are as follows:

Bank:	**BGL BNP Paribas**
	50, avenue J.F. Kennedy, L-2951 Luxembourg
BIC:	**BGLLLULL**
Beneficiary IBAN:	**LU63 0030 6687 5672 0000**
Beneficiary Name:	**REGIS-TR S.A.**

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1.3 Validity and invoicing start date

This fee schedule is valid from 1 January 2025 until further notice and in accordance with the Governing Documents.

All Members will benefit from up to a one-month fee holiday for membership, reporting and maintenance fees, as from the start of their reporting activity. In practice, Members who start reporting on the first business day of a month will get free services for the full calendar month. Members who start reporting at a later point in time during the month will benefit from a fee holiday until the end of that month. Likewise, Members who complete the Account set up and become a REGIS-TR participant in advance of the applicable reporting start date will not incur any fees until they report their first trade. Fees are only charged as of the second month of activity.

1.4 Decimals

Numbers are shown on an invoice with maximum two decimal places, but fees are calculated using a precision of up to five decimal places. For example, a fee of 0.0333 will appear as 0.03 on the invoice, however, the unitary price will still be shown with five decimal places.

1.5 Penalty fees and interest

If an invoice is not paid within 30 (thirty) calendar days of the invoice date, REGIS-TR reserves the right to apply a processing fee per invoice, whether or not a reminder has been issued.

Note: The processing fee is applied for unpaid invoices from the payment due date and it is cumulative onwards.

In addition, REGIS-TR reserves the right to apply interest to any invoice that is not paid within thirty (30) calendar days after the invoice date. Interest is calculated on the outstanding amount at two (2) percentage points above the Luxembourg annual legal rate, and it will accrue automatically and without prior notice on overdue invoices from the due date until payment. In the event a Member fails to make payment of an invoice when due, REGIS-TR shall be entitled, without prejudice to any other remedy it might have under any agreement or otherwise at law, to the following:

- Require the Member to make an immediate payment of all due invoices addressed to the Member, including those not yet overdue.
- Suspend the provision of all services to the Member.

1.6 Fee simulations

Members may contact their relationship manager for simulation of the fees that may apply to their activity.

1.7 Pricing family groups

Several Members may form a family group, where the group is considered as an entity on its own for the purpose of pricing. Family groups commonly include the parent company together with all other affiliates that are more than 50% owned and directly or indirectly controlled by the parent company. Family groups may also include a structure comparable to a qualifying family group in their own country. Reporting Participants, Internal Reporting Third Parties and Non-

Reporting Entities may belong to the same family group. External Reporting Third Parties cannot be part of the same family as Reporting Participants, Internal Reporting Third Parties or Non-Reporting Entities. The distinction between Internal and External Reporting Third Parties is as follows:

- An Internal Reporting Third Party is one who belongs to the same family group as the entity it reports on behalf of.
- An External Reporting Third Party reports on behalf of an entity that does not belong to the same family group.

Family group structures need to be reviewed and approved by REGIS-TR on a case-by-case basis. REGIS-TR must be advised whether a new Member is part of an existing family group, and proof of membership to that family group must be provided by the new Member.

1.8 Frequently asked questions

Further information related to fees, calculation rules and invoicing processes can be found in the "Understanding your invoice guide", available in the section **Key documents > REGIS-TR S.A. > Fee Schedules of our public website.**

Pricing Principles Regulatory Services

1.9   Membership and registration fees (Fee code: 7500)

Reporting Participants and Reporting Third Parties are charged a membership fee on a monthly basis for every Account opened with REGIS-TR.

Fee	Amount (EUR)	Basis
Membership fee	450	Monthly

Non-Reporting Entities (NRE) are charged an annual membership fee for every Account opened with REGIS-TR. Membership fees for Accounts opened in the middle of the year are prorated according to the number of months remaining until the end of that year.

Note: the annual fee will not be refunded if the Account is closed during the year.

Fee	Amount (EUR)	Basis
Membership fee	1,800	Yearly

1.10   Reporting fees (Fee code: 7510 & 7515)

The communication of Contractual Data to REGIS-TR is subject to a reporting fee. The reporting fee is applied for each Unique Transaction Identifier (UTI) reported via a Reported Trade Message (XT), be it Report Type N or X, and with Report Level Transaction (T). The reporting fee is applied only once and differs depending on the Account type. The following exceptions apply:

- The reporting fee has a monthly trade allowance for the first 200 trades for Reporting and Internal Third Parties participants (fee code: 7510).
- All life cycle events including modifications and valuation, as well as termination messages are free of charge.
- A trade communicated and cancelled on the same day with action type "error" does not incur a fee.

Reporting fees for trades reported by an Internal or External Reporting Third Party but allocated to the Account of a Reporting Participant will be charged directly to the Reporting Participant. If the Third Party reports the trades for an entity that does not have an Account with REGIS-TR or is a Non-Reporting Entity, the reporting fees are charged to the Third Party.

Fee for Reporting Participants / Internal Third Parties	Amount (EUR)	Basis
Reporting fee OTC/ETD:		
The first 200 trades reported each month	-	
From 201 to 1,000 trades	2.7500	
From 1,001 to 5,000 trades	1.3000	
From 5,001 to 40,000 trades	0.1500	Per UTI / calendar month
From 40,001 to 200,000 trades	0.0800	
From 200,001 to 5,000,000 trades	0.0045	
More than 5,000,000 trades	0.0008	

Fee for External Third Parties	Amount (EUR)	Basis
Reporting fee OTC/ETD:		
From 1 to 1,000 trades	3.5000	
From 1,001 to 5,000 trades	2.0000	
From 5,001 to 40,000 trades	0.1900	
From 40,001 to 200,000 trades	0.0950	
From 200,001 to 5,000,000 trades	0.0050	
More than 5,000,000 trades	0.0008	

1.11 Maintenance fees (Fee code: 7520)

Maintenance fees are charged on a monthly basis per open trade.

Note: Trades are considered "open" if reported via Reported Trade Message (XT) with Report Type New (N) and Report Level Transaction (T) or Position (P), provided that they remain active in the system for at least one day after the reporting date.

Matured trades reported via Reported Trade Message (XT) trigger maintenance fees for the first month (they are initially recorded as "Active" to allow reporting of further trade types until the following day, when the status is changed to "Mature"). New trades that are cancelled on the reporting date with action type "C" will not trigger maintenance fees.

Maintenance fees for open positions reported by an Internal or External Reporting Third Party but allocated to the Account of a Reporting Participant will be charged directly to the Reporting Participant. If the Third Party maintains open positions for an entity that does not have an Account with REGIS-TR or is a Non-Reporting Entity, the maintenance fees are charged to the Third Party.

Fee	Amount (EUR)	Basis
Maintenance fee		
From 1 to 50,000 positions	0.0333	Per open position /
More than 50,000 positions	0.0250	calendar month

1.12 Large user fee cap

Reporting Participants, Internal Third Parties or family groups will be charged no more than a total cumulative invoice amount of **EUR 360,000** per calendar year (excluding VAT). This fee cap is not applicable to External Third Parties.

1.13 Special services (Fee code: 7550)

Special operational services and specific requests requiring ad-hoc dedication of REGIS-TR staff are charged at an hourly rate following the below table. Members are informed about the exact fee amounts related to these special services prior to the generation of the invoice.

Fee	Amount (EUR)	Basis
Special services		
On weekdays during office hours (8:00 a.m. – 6:00 p.m. CET)	230	
On weekdays outside of office hours	345	Per hour
On weekends and public holidays	460	

1.14 Administrative fees (Fee code: 7900)

Administrative fee is applied monthly on the invoice of client's legal entity which payment method is set to bank transfer at the time of the invoice issuance. The fee covers administrative tasks connected to payment collection. The annual invoices will be charged in January of current year (or upon opening of the NRE permission) to every beneficiary of the paying client's legal entity with payment method set to bank transfer at the time of invoice issuance. The paying client's legal entity outside of SEPA area as defined by ECB is exempt from the fee. The administrative fees are not included in the large user cap calculation.

Fee	Amount (EUR)	Basis
Administrative services		
Administrative fee	50	Per client's legal entity with payment method set to bank transfer

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Fee Schedule Summary

Fee	Reporting Participant/ Internal Third Party	External Third Party	Non- Reporting Entity
Membership fee	EUR 450/month	EUR 450/month	N/A
Reporting fee			
(0 - 200 trades) each month	EUR 0	EUR 3.5000	N/A
(201 - 1,000 trades)	EUR 2.7500	EUR 3.5000	N/A
(1,001 - 5,000 trades)	EUR 1.3000	EUR 2.0000	N/A
(5,001 - 40,000 trades)	EUR 0.1500	EUR 0.1900	N/A
(40,001 - 200,000 trades)	EUR 0.0800	EUR 0.0950	N/A
(200,001 - 5,000,000 trades)	EUR 0.0045	EUR 0.0050	N/A
(> 5,000,000 trades)	EUR 0.0008	EUR 0.0008	N/A
Maintenance fee			
(0 - 50,000 positions)	EUR 0.0333	EUR 0.0333	EUR 1.800/year
(> 50,000 positions)	EUR 0.2500	EUR 0.2500	
Administrative fee	EUR 50	EUR 50	EUR 50

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