

Centralising EMIR reporting for investment funds

Key benefits

Centralised oversight

- keep track of multiple reporting obligations via one single access

Direct connectivity

- users receive reports directly via SFTP connection

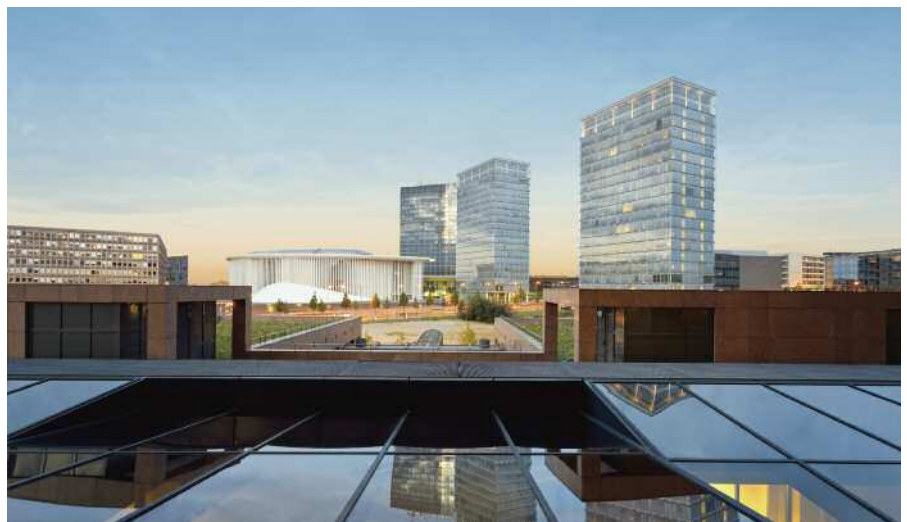
Complimentary service

- no additional fees for existing REGIS-TR users

Flexible functionality

- user friendly - also advantageous for corporates

In Europe, Fund managers have to navigate the complex regulatory territory between EMIR, AIFMD, MIFID, SFTR and UCITS V. In particular, AIFs with authorised or registered AIFMs under AIFMD, and all UCITS funds, are classified as financial counterparties under EMIR.



Each fund therefore is required to report on EMIR on an individual basis, although the Management Company of the fund or the Fund Manager can report on the fund's behalf. This requirement to report on a **per fund** basis can create difficulties in maintaining a full overview of reporting for Management Companies and Investment Managers who need to manage several funds.

Superuser access functionality for Management Companies and Investment Managers

REGIS-TR has developed a new Superuser access functionality specifically for supporting the needs of oversight expressed by Management Companies and

Investment Managers. This new Superuser access functionality is a complimentary REGIS-TR service that can be set up for interested customers free of charge.

Customers can use the Superuser access functionality to obtain an aggregated view of reporting data across multiple types of accounts, including accounts of both Reporting Participants and Non-Reporting Entities. Customers also benefit from a set of daily end of day reports, which provide a full overview of the inbound messages sent to REGIS-TR, along with collateral and valuation updates and trade status reports of the day. These reports will be delivered to the Superuser via their SFTP connection.

Maintain a clear overview across accounts

By using the new Superuser access functionality, customers will be able to easily access all the information sent by the participants that they are authorised to supervise, as well as to quickly obtain an update of the trades reported on behalf of the companies under their control.

Strict data protection standards are maintained, with access to data only being provided following mutual consent

between the account monitored and the Superuser. This ensures that customer data is only provided to authorised parties.

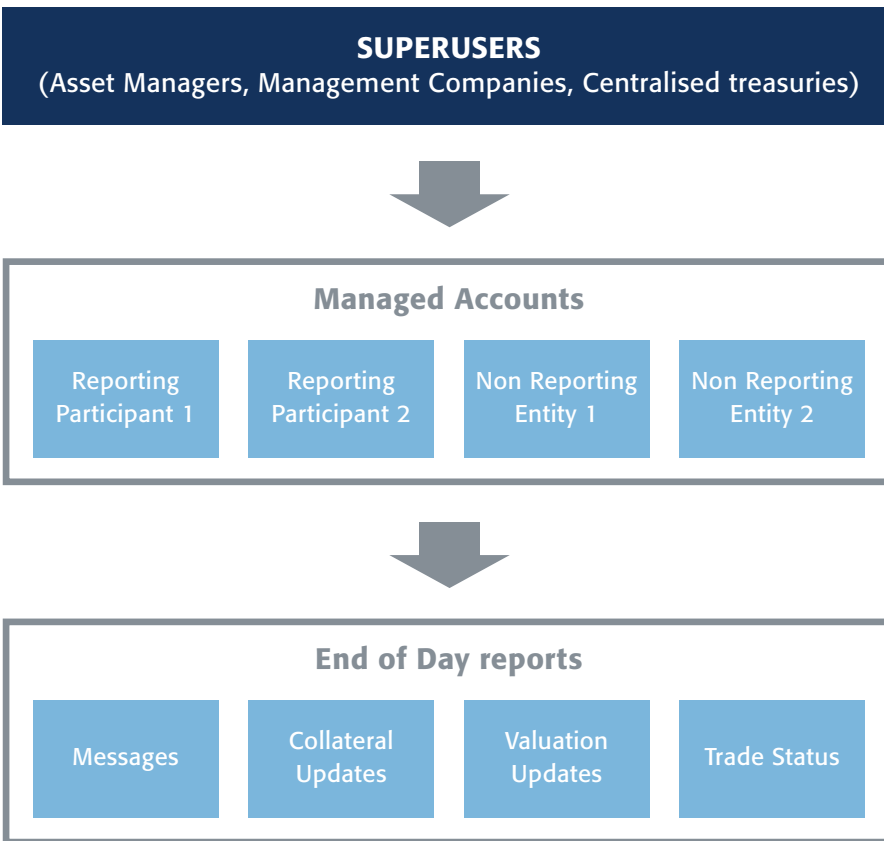
Getting started

Please contact your dedicated Relationship Manager for further information on this new Superuser service. Your Relationship Manager will also be happy to provide you with the application forms required to activate the Superuser functionality.

REGIS-TR wants to simplify regulatory reporting obligations for its customers as much as possible. We envisage customers only needing to report to one entity in order to comply with the full range of EU and European financial reporting requirements.

By selecting REGIS-TR as your Trade Repository for EMIR, you are choosing a provider that aims to deliver on your reporting obligations not solely on a modular basis but also holistically across all your reporting needs.

Furthermore, together with its parent entities, Bolsas y Mercados Españoles and Deutsche Börse Group, REGIS-TR customers can leverage reporting solutions across the value chain, with regulatory support services covering all elements of the lifecycle of financial instruments from issuance to settlement.



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If you have further questions, please contact the REGIS-TR relationship management team:

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